Edgar Filing: PYTOSH MARK A - Form 4

| PYTOSH MARK A Form 4 December 18, 2017 FORM 4 FORM 4 LONITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to Section 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, State of the Public Utility Holding Company Act of 1935 or Section 1(b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Social Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Social Check this box Social Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Social Check this box Social Check this box Social Check this box Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Social Check this box Social Check this | | | | | | | | | | | | | | |
|---|--------------|---|--------|---|--|---------------|----------|----------------------|---|--|----------|--|--|--|
| (Print or Type I | | - * | | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> PYTOSH MARK A | | | Symbol | 2. Issuer Name and Ticker or Trading Symbol | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | | | | S, LP [UA | AN] | | (Check all applicable) | | | | | |
| () | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/14/2018 | | | | | X Director 10% Owner X Officer (give title Other (specify below) President and CEO | | | | | |
| Filed(M | | | | Amendment, Date Original (Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| | ND, TX 77479 | · - · · · | | | | | | | Person | | oporting | | | |
| (City) | | (Zip) | | | n-D | | | - | uired, Disposed of | | • | | | |
| 1.Title of Security (Instr. 3) | | ransaction Date 2A. Deemed hth/Day/Year) Execution Date, if any (Month/Day/Year) | | | 3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | | |
| Common Units (1) | 12/15/2018 | | | M | v | Amount 61,672 | (D) A | Price \$ 0 (2) | 137,604 | D | | | | |
| Common Units (1) | 12/15/2018 | 5/2018 | | | | 61,672 | D | \$ 3.51 (3) | 75,932 | D | | | | |
| Common Units (4) | 12/16/2018 | .6/2018 | | | | 38,674 | A | \$ 0 (2) | 114,606 | D | | | | |
| Common Units (4) | 12/16/2018 | /16/2018 | | | 38,674 | | D | \$ 3.51 (3) | 75,932 | D | | | | |
| | 12/18/2018 | | | Μ | | 26,683 | А | | 102,615 | D | | | | |

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| Common Units (5) | | | | | \$ 0 (2) | | |
|----------------------------|------------|---|--------|---|-------------------|--------|---|
| Common Units <u>(5)</u> | 12/18/2018 | D | 26,683 | D | \$ 3.47 (3) | 75,932 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--------|--|--------------------|---|----------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount Number Shares |
| Phantom Units <u>(6)</u> | <u>(2)</u> | 12/14/2018 | | A | 169,842 | | (6) | (7) | Common Units | 169,84 |
| Phantom Units <u>(1)</u> | <u>(2)</u> | 12/15/2018 | | М | | 61,672 | (1) | (7) | Common Units | 61,67 |
| Phantom Units <u>(4)</u> | <u>(2)</u> | 12/16/2018 | | М | | 38,674 | (4) | (7) | Common Units | 38,67 |
| Phantom Units <u>(5)</u> | <u>(2)</u> | 12/18/2018 | | М | | 26,683 | (5) | (7) | Common Units | 26,68 |

Reporting Owners

| Reporting Owner Name / Address | | | Re | | |
|--|---------|----------|-----------|-------------------|-------|
| | | Director | 10% Owner | Officer | Other |
| PYTOSH MARK A C/O CVR PARTNERS, LP 2277 PLAZA DRIVE, SUI SUGAR LAND, TX 77479 | ГЕ 500 | Х | | President and CEO | |
| Signatures | | | | | |
| /s/ Mark A. Pytosh | 12/18/2 | 2018 | | | |

Date

**Signature of

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Phantom Units were awarded to the reporting person by CVR Partners, LP on December 29, 2017, as compensation for services as an(1) officer. These Incentive Units vest ratably in three annual installments beginning in December of each year following the grant date, subject to the terms of the award agreement.
- (2) Each Phantom Unit reported herein represents the right to receive, upon vesting, a cash payment equal to the average closing price of the common units of CVR Partners, LP for the 10 business days preceding the vesting date.
- (3) This price represents the average closing price of the common units of CVR Partners, LP for the 10 business days preceding the vesting date.

The Phantom Units were awarded to the reporting person by CVR Partners, LP on December 31, 2016, as compensation for services as an(4) officer. These Incentive Units vest ratably in three annual installments beginning in December of each year following the grant date, subject to the terms of the award agreement.

The Phantom Units were awarded to the reporting person by CVR Partners, LP on December 18, 2015, as compensation for services as an officer. These Incentive Units vest ratably in three annual installments beginning in December of each year following the grant date, subject to the terms of the award agreement.

The Phantom Units were awarded to the reporting person by CVR Partners, LP as compensation for services as an officer on December(6) 14, 2018. These Phantom Units vest ratably in three annual installments beginning in December of each year following the grant date, subject to the terms of the award agreement.

(7) The Phantom Units expire immediately following their vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.