### Edgar Filing: BRADLEY WILLIAM PATRICK III - Form 4

| BRADLEY Y<br>Form 4  | WILLIAM PA  | TRICK III           |                                    |  |                        |                                 |   |  |  |              |  |
|--|---|---------------------|------------------------------------|--|------------------------|---------------------------------|---|--|--|--------------|--|
| April 24, 201  | 1 /   |                     |                                    |  |                        |                                 |   |  | OMB AF   | PROVAL       |  |
| FORM 4 UNITED STATES S   |   |                     |                                    |  |                        | OMMISSION                       | OMB<br>Number:  | 3235-0287  |  |              |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b). | Washington, D.C. 20549<br>F CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Section 16(a) of the Securities Exchange Act of 1934,<br>Public Utility Holding Company Act of 1935 or Section<br>of the Investment Company Act of 1940 |                     |                                    |  |                        |                                 | Expires:January 31,<br>2005Estimated average<br>burden hours per<br>response0.5 |  |  |              |  |
| (Print or Type F   | Responses)  |                     |                                    |  |                        |                                 |   |  |  |              |  |
| BRADLEY WILLIAM PATRICK Symbol<br>III VIRTU  |   |                     |                                    | r Name <b>and</b> Ticker or Trading<br>S INVESTMENT<br>JERS, INC. [VRTS] |                        |                                 |   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |  |              |  |
|  | (First)<br>IS INVESTME<br>5, INC., 100 PI   |                     | 3. Date of<br>(Month/D<br>04/20/20 | -  | ansaction              |                                 |   | Director<br>X Officer (give<br>below)<br>SVP,  |  |              |  |
|  |   |                     |                                    | ndment, Date Original<br>nth/Day/Year)                                   |                        |                                 |   | <ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |              |  |
| (City)   | (State)   | (Zip)               | Tabl                               | o I Non D  | animatina              | S                               | iting A ag  | Person<br>uired, Disposed of   | on Donoficial  | ly Owned     |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction D<br>(Month/Day/Yea  | ar) Executio<br>any | med                                | 3.   | 4. Securi              | ties A<br>spose<br>4 and<br>(A) | cquired<br>d of (D)   | 5. Amount of   | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of |  |
| Common<br>Stock, par<br>value \$0.01<br>per share  | 04/20/2012  |                     |                                    | Code V   | Amount<br>1,917<br>(1) | or<br>(D)<br>D                  | Price<br>\$<br>79.83  | (Instr. 3 and 4)<br>7,930.59   | D  |              |  |
| Common<br>Stock, par<br>value \$0.01<br>per share  | 04/20/2012  |                     |                                    | М  | 100                    | A                               | \$ 9.4  | 8,030.59   | D  |              |  |
| Common<br>Stock, par   | 04/20/2012  |                     |                                    | S  | 100                    | D                               | \$ 80.6   | 7,930.59 <u>(2)</u>  | D  |              |  |

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value \$0.01 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number<br>onof<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4,<br>and 5) | Expiration Date<br>(Month/Day/Year)<br>curities<br>aquired<br>) or<br>sposed<br>(D)<br>(str. 3, 4, |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8.<br>D<br>Sc<br>(It |
|---|---|---|---|--|--|--|--------------------|---|--|----------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                      |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 9.4  | 04/20/2012                              |   | М                                      | 100  | 04/20/2012   | 04/20/2019         | Common<br>Stock   | 100                                    |                      |

### **Reporting Owners**

| Reporting Owner Name / Address  |            | Relationships |         |                    |  |  |  |
|---|------------|---------------|---------|--------------------|--|--|--|
|   | Director   | 10% Owner     | Officer | Other              |  |  |  |
| BRADLEY WILLIAM PATRICK III<br>C/O VIRTUS INVESTMENT PARTNE<br>100 PEARL STREET<br>HARTFORD, CT 06103 | ERS, INC.  |               |         | SVP, Fund Services |  |  |  |
| Signatures  |            |               |         |                    |  |  |  |
| /s/Mark S. Flynn,<br>Attorney-in-Fact   | 04/24/2012 |               |         |                    |  |  |  |
| **Signature of Reporting Person   | Date       |               |         |                    |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exempt disposition to the Issuer under Rule 16b-3(2) to satisfy tax withholding obligations arising out of the vesting of a RSU award.

This number includes (i) 288.59 shares acquired in connection with the Issuer's Employee Stock Purchase Plan; (ii) 1,285 RSUs that are
 (2) scheduled to cliff vest on March 15, 2013 and (iii) 2,377 RSUs that are scheduled to cliff vest on March 15, 2014. RSUs will be settled for shares of common stock on a one-for-one basis upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.