SULTANA KEITH A Form 4 July 30, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

response...

Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

2005 Estimated average burden hours per

0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SULTANA KEITH A	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
	Ingersoll-Rand plc [IR]	(Check all applicable)			
(Last) (First) (Middle)	3. Date of Earliest Transaction				
	(Month/Day/Year)	Director 10% Owner			
C/O INGERSOLL-RAND	02/22/2018	X Officer (give title Other (specify			
COMPANY, 800-E BEATY STREET		below) below) Senior Vice President			
(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
DAVIDSON, NC 28036		Form filed by More than One Reporting			

	Person Person
Table I - Non-Derivative Securities Acq	uired, Disposed of, or Beneficially Owned

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative S	Securi	ties Acquire	d, Disposed of, or	Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities on Disposed of (Instr. 3, 4 and	(D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Ordinary Shares	02/22/2018		A	221.4594 (1)	A	\$ 90.31	11,896.74	D	
Ordinary Shares	07/26/2018		M	1,659	A	\$ 67.055	13,555.74	D	
Ordinary Shares	07/26/2018		M	3,402	A	\$ 50.0025	16,957.74	D	
Ordinary Shares	07/26/2018		M	3,743	A	\$ 80.205	20,700.74	D	
Ordinary Shares	07/26/2018		S	8,804	D	\$ 98.43 (2)	11,896.74	D	

Ordinary	12 601 0522 I	By Plan
Shares (3)	13,681.9523 I	Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(In

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number tion Derivative Securities ) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Month Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		3 3 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Ordinary Shares	\$ 67.055	07/26/2018		M		1,659	<u>(4)</u>	02/02/2025	Ordinary Shares	1,659	
Ordinary Shares	\$ 50.0025	07/26/2018		M		3,402	<u>(5)</u>	02/09/2026	Ordinary Shares	3,402	
Ordinary Shares	\$ 80.205	07/26/2018		M		3,743	<u>(6)</u>	02/06/2027	Ordinary Shares	3,743	

# **Reporting Owners**

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

SULTANA KEITH A C/O INGERSOLL-RAND COMPANY 800-E BEATY STREET DAVIDSON, NC 28036

Senior Vice President

## **Signatures**

/s/ Sara Walden Brown -07/30/2018 Attorney-in-Fact

> \*\*Signature of Reporting Person Date

2 Reporting Owners

### Edgar Filing: SULTANA KEITH A - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction relates to the deferral of compensation by the reporting person into the Executive Deferred Compensation Plan.
- This transaction was executed in multiple trades ranging from \$98.42 to \$98.49 per share. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- (3) Latest available information provided by the trustee of the Ingersoll-Rand Employee Savings Plan.
- (4) The option vests in three (3) annual installments beginning on February 3, 2016.
- (5) The option vests in three (3) annual installments beginning on February 10, 2017.
- (6) The option vests in three (3) annual installments beginning on February 7, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.