Northfield Bancorp, Inc.

Form 4 June 01, 2015

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

burden hours per

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Add<br>Doherty Kenr | *          | ting Person * | 2. Issuer Name and Ticker or Trading<br>Symbol<br>Northfield Bancorp, Inc. [NFBK] | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable) |  |  |  |
|---------------------------------|------------|---------------|---|---|--|--|--|
| (Last)                          | (First)    | (Middle)      | 3. Date of Earliest Transaction   |   |  |  |  |
|                                 |            |               | (Month/Day/Year)  | Director 10% Owner  |  |  |  |
| 581 MAIN STREET, SUITE 810      |            |               | 05/27/2015  | X Officer (give title Other (specify below)  EVP & Chief Lending Officer    |  |  |  |
| (Street)                        |            |               | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check                                   |  |  |  |
|                                 |            |               | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person                     |  |  |  |
| WOODBRID                        | GE, NJ 070 | 95            |   | Form filed by More than One Reportin Person                                 |  |  |  |

|   | (City)                      | (State)                                 | (Zip) Tabl  | le I - Non-I  | Derivative S  | Secur            | ities Acq   | uired, Disposed of   | , or Beneficiall                              | y Owned   |
|---|-----------------------------|---|---|---|---------------|------------------|-------------|--|---|---|
| S | Title of decurity Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired<br>Transaction(A) or Disposed of (D<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8) |               |                  | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|   |                             |   |   | Code V  | Amount        | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (Instr. 4)                                    |   |
|   | Common<br>Stock             | 05/27/2015                              |   | A   | 40,000<br>(1) | A                | \$<br>14.76 | 212,895  | D   |   |
|   | Common<br>Stock             |   |   |   |               |                  |             | 58,075.9871<br>(2)   | I   | By 401(k)   |
|   | Common<br>Stock             |   |   |   |               |                  |             | 24,388.5563<br>(2)   | I   | By ESOP   |
|   | Common<br>Stock             |   |   |   |               |                  |             | 3,343  | I   | By<br>Spouse  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|---|---|---|---|--|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                  | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| Stock<br>Options                                    | \$ 14.67  | 05/27/2015                              |   | A                                       | 75,000<br>(3)   | 05/27/2016   | 05/27/2025         | Common<br>Stock   | 75,000                           |
| Stock<br>Options                                    | \$ 13.13  |   |   |   |   | 06/11/2015   | 06/11/2014         | Common<br>Stock   | 207,000                          |
| Stock<br>Options                                    | \$ 7.09   |   |   |   |   | 01/30/2010   | 01/30/2019         | Common<br>Stock   | 269,356                          |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Doherty Kenneth J

581 MAIN STREET, SUITE 810 WOODBRIDGE, NJ 07095

**EVP & Chief Lending Officer** 

### **Signatures**

/s/ Steven M. Klein, pursuant to Power of Attorney

06/01/2015

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock that vest at a rate of 20% per year commencing on May 27, 2016.
- (2) Reflects transactions not required to be reported pursuant to section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Represents stock options that vest at a rate of 20% per year commencing on May 27, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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