Alexander John Wade Form 4 November 01, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number: January 31,

2005 Estimated average burden hours per response... 0.5

Expires:

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Ad Alexander Jo	•	ting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol Northfield Bancorp, Inc. [NFBK]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
581 MAIN STREET, SUITE 810		ITE 810	(Month/Day/Year) 10/31/2017	X Director 10% Owner Other (specify below)		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person		
WOODBRIDGE, NJ 07095				Form filed by More than One Reporting Person		
(0:, )	(0, , )	(7: )				

(City)	(State) (	Zip) Table	e I - Non-D	erivative S	Securit	ties Acc	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, if	4. Securities Acquired on(A) or Disposed of			5. Amount of Securities	6. Ownership Form: Direct	Indirect	
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(D) (Instr. 3, 4	4 and 5	5)	Beneficially Owned Following	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	10/31/2017		D	85,247 (1)	D	\$0	380,416	D	
Common Stock							29,967.4218	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nur Sha
Stock Options	\$ 13.13	10/31/2017		D		104,712 (2)	06/11/2015	06/11/2024	Common Stock	10
Stock Options	\$ 14.76	10/31/2017		D		99,197 (2)	05/27/2016	05/24/2025	Common Stock	99
Stock Appreciation Rights	\$ 7.085						01/30/2010	01/30/2019	Common Stock	17

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
noporomg o mar rumo / rumo os	Director	10% Owner	Officer	Other				
Alexander John Wade			a					
581 MAIN STREET, SUITE 810	X		Chairman & CEO					
WOODBRIDGE, NJ 07095								

## **Signatures**

Steven M. Klein, pursuant to Power of Attorney

\*\*Signature of Reporting Person

Date

11/01/2017

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock that were previously reported as beneficially owned by the reporting person, but were subsequently forfeited due to the reporting person's retirement.
- (2) Represents stock options that were previously reported as beneficially owned by the reporting person but were subsequently forfeited due to the reporting person's retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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