

Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

BOULDER GROWTH & INCOME FUND  
Form N-PX  
August 31, 2017

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-02328

BOULDER GROWTH & INCOME FUND, INC.  
(Exact name of registrant as specified in charter)

Fund Administrative Services, LLC  
2344 Spruce Street, Suite A, Boulder, CO 80302  
(Address of principal executive offices) (Zip code)

Registrant's Telephone Number, including Area Code: (303) 444-5483

Date of fiscal year end: November 30

Date of reporting period: July 1, 2016 - June 30, 2017

ITEM 1. PROXY VOTING RECORD

===== BOULDER GROWTH & INCOME FUND INC =====

3M COMPANY

Ticker: MMM Security ID: 88579Y101  
Meeting Date: MAY 09, 2017 Meeting Type: Annual  
Record Date: MAR 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Sondra L. Barbour	For	For	Management
1b	Elect Director Thomas "Tony" K. Brown	For	For	Management
1c	Elect Director Vance D. Coffman	For	For	Management
1d	Elect Director David B. Dillon	For	For	Management
1e	Elect Director Michael L. Eskew	For	For	Management
1f	Elect Director Herbert L. Henkel	For	For	Management
1g	Elect Director Muhtar Kent	For	For	Management
1h	Elect Director Edward M. Liddy	For	For	Management
1i	Elect Director Gregory R. Page	For	For	Management
1j	Elect Director Inge G. Thulin	For	For	Management
1k	Elect Director Patricia A. Woertz	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Adopt Holy Land Principles	Against	Against	Shareholder

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AMERICAN EXPRESS COMPANY

Ticker:            AXP                    Security ID: 025816109  
 Meeting Date: MAY 01, 2017    Meeting Type: Annual  
 Record Date: MAR 03, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Charlene Barshefsky	For	For	Management
1b	Elect Director John J. Brennan	For	For	Management
1c	Elect Director Ursula M. Burns	For	For	Management
1d	Elect Director Kenneth I. Chenault	For	For	Management
1e	Elect Director Peter Chernin	For	For	Management
1f	Elect Director Ralph de la Vega	For	For	Management
1g	Elect Director Anne L. Lauvergeon	For	For	Management
1h	Elect Director Michael O. Leavitt	For	For	Management
1i	Elect Director Theodore J. Leonsis	For	For	Management
1j	Elect Director Richard C. Levin	For	For	Management
1k	Elect Director Samuel J. Palmisano	For	For	Management
1l	Elect Director Daniel L. Vasella	For	For	Management
1m	Elect Director Robert D. Walter	For	For	Management
1n	Elect Director Ronald A. Williams	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Provide Right to Act by Written Consent	Against	For	Shareholder
6	Report on Gender Pay Gap	Against	Against	Shareholder

BERKSHIRE HATHAWAY INC.

Ticker:            BRK.A                    Security ID: 084670108  
 Meeting Date: MAY 06, 2017    Meeting Type: Annual  
 Record Date: MAR 08, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Warren E. Buffett	For	For	Management
1.2	Elect Director Charles T. Munger	For	For	Management
1.3	Elect Director Howard G. Buffett	For	For	Management
1.4	Elect Director Stephen B. Burke	For	For	Management
1.5	Elect Director Susan L. Decker	For	For	Management
1.6	Elect Director William H. Gates, III	For	For	Management
1.7	Elect Director David S. Gottesman	For	For	Management
1.8	Elect Director Charlotte Guyman	For	For	Management
1.9	Elect Director Thomas S. Murphy	For	For	Management
1.10	Elect Director Ronald L. Olson	For	For	Management
1.11	Elect Director Walter Scott, Jr.	For	For	Management
1.12	Elect Director Meryl B. Witmer	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year	Management
4	Report on Political Contributions	Against	For	Shareholder
5	Assess and Report on Exposure to Climate Change Risks	Against	For	Shareholder
6	Require Divestment from Fossil Fuels	Against	Against	Shareholder

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BERKSHIRE HATHAWAY INC.

Ticker: BRK.B Security ID: 084670702  
 Meeting Date: MAY 06, 2017 Meeting Type: Annual  
 Record Date: MAR 08, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Warren E. Buffett	For	For	Management
1.2	Elect Director Charles T. Munger	For	For	Management
1.3	Elect Director Howard G. Buffett	For	For	Management
1.4	Elect Director Stephen B. Burke	For	For	Management
1.5	Elect Director Susan L. Decker	For	For	Management
1.6	Elect Director William H. Gates, III	For	For	Management
1.7	Elect Director David S. Gottesman	For	For	Management
1.8	Elect Director Charlotte Guyman	For	For	Management
1.9	Elect Director Thomas S. Murphy	For	For	Management
1.10	Elect Director Ronald L. Olson	For	For	Management
1.11	Elect Director Walter Scott, Jr.	For	For	Management
1.12	Elect Director Meryl B. Witmer	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year	Management
4	Report on Political Contributions	Against	For	Shareholder
5	Assess and Report on Exposure to Climate Change Risks	Against	For	Shareholder
6	Require Divestment from Fossil Fuels	Against	Against	Shareholder

CATERPILLAR INC.

Ticker: CAT Security ID: 149123101  
 Meeting Date: JUN 14, 2017 Meeting Type: Annual  
 Record Date: APR 17, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director David L. Calhoun	For	For	Management
1.2	Elect Director Daniel M. Dickinson	For	For	Management
1.3	Elect Director Juan Gallardo	For	For	Management
1.4	Elect Director Jesse J. Greene, Jr.	For	For	Management
1.5	Elect Director Jon M. Huntsman, Jr.	For	For	Management
1.6	Elect Director Dennis A. Muilenburg	For	For	Management
1.7	Elect Director William A. Osborn	For	For	Management
1.8	Elect Director Debra L. Reed	For	For	Management
1.9	Elect Director Edward B. Rust, Jr.	For	For	Management
1.10	Elect Director Susan C. Schwab	For	For	Management
1.11	Elect Director Jim Umpleby	For	For	Management
1.12	Elect Director Miles D. White	For	For	Management
1.13	Elect Director Rayford Wilkins, Jr.	For	For	Management
2	Ratify PricewaterhouseCoopers as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Amend Omnibus Stock Plan	For	For	Management
6	Report on Lobbying Payments and Policy	Against	For	Shareholder
7	Reduce Ownership Threshold for	Against	For	Shareholder

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	Shareholders to Call Special Meeting			
8	Report on Lobbying Priorities	Against	Against	Shareholder
9	Include Sustainability as a Performance Measure for Senior Executive Compensation	Against	Against	Shareholder
10	Amend Compensation Clawback Policy	Against	For	Shareholder
11	Require Independent Board Chairman	Against	For	Shareholder

### CHEVRON CORPORATION

Ticker: CVX Security ID: 166764100  
 Meeting Date: MAY 31, 2017 Meeting Type: Annual  
 Record Date: APR 03, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Wanda M. Austin	For	For	Management
1b	Elect Director Linnet F. Deily	For	For	Management
1c	Elect Director Robert E. Denham	For	For	Management
1d	Elect Director Alice P. Gast	For	For	Management
1e	Elect Director Enrique Hernandez, Jr.	For	For	Management
1f	Elect Director Jon M. Huntsman, Jr.	For	For	Management
1g	Elect Director Charles W. Moorman, IV	For	For	Management
1h	Elect Director Dambisa F. Moyo	For	For	Management
1i	Elect Director Ronald D. Sugar	For	For	Management
1j	Elect Director Inge G. Thulin	For	For	Management
1k	Elect Director John S. Watson	For	For	Management
1l	Elect Director Michael K. Wirth	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Report on Lobbying Payments and Policy	Against	For	Shareholder
6	Report on Risks of Doing Business in Conflict-Affected Areas	Against	Against	Shareholder
7	Annually Assess Portfolio Impacts of Policies to Meet 2-degree Scenario *Withdrawn Resolution*	None	None	Shareholder
8	Assess and Report on Transition to a Low Carbon Economy	Against	For	Shareholder
9	Require Independent Board Chairman	Against	For	Shareholder
10	Require Director Nominee with Environmental Experience	Against	For	Shareholder
11	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder

### CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102  
 Meeting Date: DEC 12, 2016 Meeting Type: Annual  
 Record Date: OCT 14, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Carol A. Bartz	For	For	Management
1b	Elect Director M. Michele Burns	For	For	Management
1c	Elect Director Michael D. Capellas	For	For	Management

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1d	Elect Director John T. Chambers	For	For	Management
1e	Elect Director Amy L. Chang	For	For	Management
1f	Elect Director John L. Hennessy	For	For	Management
1g	Elect Director Kristina M. Johnson	For	For	Management
1h	Elect Director Roderick C. McGeary	For	For	Management
1i	Elect Director Charles H. Robbins	For	For	Management
1j	Elect Director Arun Sarin	For	For	Management
1k	Elect Director Steven M. West	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Report on Lobbying Payments and Policy	Against	For	Shareholder
5	Report on Arab and non-Arab Employees using EEO-1 Categories	Against	Against	Shareholder
6	Establish Board Committee on Operations in Israeli Settlements	Against	Against	Shareholder

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### CK HUTCHISON HOLDINGS LIMITED

Ticker: 1 Security ID: G21765105  
 Meeting Date: MAY 11, 2017 Meeting Type: Annual  
 Record Date: MAY 05, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and Statutory Reports	For	For	Management
2	Approve Final Dividend	For	For	Management
3a	Elect Kam Hing Lam as Director	For	Against	Management
3b	Elect Edith Shih as Director	For	Against	Management
3c	Elect Chow Kun Chee, Roland as Director	For	Against	Management
3d	Elect Chow Woo Mo Fong, Susan as Director	For	Against	Management
3e	Elect Cheng Hoi Chuen, Vincent as Director	For	Against	Management
3f	Elect Lee Wai Mun, Rose as Director	For	Against	Management
3g	Elect William Shurniak as Director	For	For	Management
3h	Elect Wong Chung Hin as Director	For	For	Management
4	Approve Auditor and Authorize Board to Fix Their Remuneration	For	For	Management
5.1	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	For	Against	Management
5.2	Authorize Repurchase of Issued Share Capital	For	For	Management
5.3	Authorize Reissuance of Repurchased Shares	For	Against	Management

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### COHEN & STEERS INFRASTRUCTURE FUND, INC.

Ticker: UTF Security ID: 19248A109  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: MAR 01, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Michael Clark	For	For	Management

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1.2	Elect Director Bonnie Cohen	For	For	Management
1.3	Elect Director Dean Junkans	For	For	Management
1.4	Elect Director Richard E. Kroon	For	For	Management

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### COMMUNITY BK PASADENA CALIF

Ticker: CYHT Security ID: 203507108  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: MAR 08, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William L. Browning	For	For	Management
1.2	Elect Director Charles E. Cook	For	For	Management
1.3	Elect Director Matthew Denmark	For	For	Management
1.4	Elect Director Kyle R. Jones	For	For	Management
1.5	Elect Director Lyle R. Knight	For	For	Management
1.6	Elect Director Robert J. Kushner	For	For	Management
1.7	Elect Director Marshall V. Laitsch	For	For	Management
1.8	Elect Director Charles D. McCluer	For	For	Management
1.9	Elect Director David R. Misch	For	For	Management
1.10	Elect Director Craig H. Stewart	For	For	Management
1.11	Elect Director Kristen D. Stovesand	For	For	Management

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### FREEMPORT-MCMORAN INC.

Ticker: FCX Security ID: 35671D857  
 Meeting Date: JUN 06, 2017 Meeting Type: Annual  
 Record Date: APR 11, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard C. Adkerson	For	For	Management
1.2	Elect Director Gerald J. Ford	For	For	Management
1.3	Elect Director Lydia H. Kennard	For	For	Management
1.4	Elect Director Andrew Langham	For	For	Management
1.5	Elect Director Jon C. Madonna	For	For	Management
1.6	Elect Director Courtney Mather	For	For	Management
1.7	Elect Director Dustan E. McCoy	For	For	Management
1.8	Elect Director Frances Fragos Townsend	For	For	Management
2	RatifyErnst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

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### HARRIS CORPORATION

Ticker: HRS Security ID: 413875105  
 Meeting Date: OCT 28, 2016 Meeting Type: Annual  
 Record Date: SEP 02, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director James F. Albaugh	For	For	Management
1b	Elect Director William M. Brown	For	For	Management
1c	Elect Director Peter W. Chiarelli	For	For	Management

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1d	Elect Director Thomas A. Dattilo	For	For	Management
1e	Elect Director Roger B. Fradin	For	For	Management
1f	Elect Director Terry D. Growcock	For	For	Management
1g	Elect Director Lewis Hay, III	For	For	Management
1h	Elect Director Vyomesh I. Joshi	For	For	Management
1i	Elect Director Leslie F. Kenne	For	For	Management
1j	Elect Director James C. Stoffel	For	For	Management
1k	Elect Director Gregory T. Swienton	For	For	Management
1l	Elect Director Hansel E. Tookes, II	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management

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### HEINEKEN HOLDING NV

Ticker: HEIO Security ID: N39338194  
 Meeting Date: APR 20, 2017 Meeting Type: Annual  
 Record Date: MAR 23, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Receive Report of Management Board (Non-Voting)	None	None	Management
2	Discuss Remuneration Report	None	None	Management
3	Adopt Financial Statements	For	For	Management
4	Receive Explanation on Company's Reserves and Dividend Policy	None	None	Management
5	Approve Discharge of the Board of Directors	For	For	Management
6.a	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	For	For	Management
6.b	Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital	For	For	Management
6.c	Authorize Board to Exclude Preemptive Rights from Issuance under Item 6b	For	For	Management
7	Ratify Deloitte as Auditors	For	For	Management
8.a	Reelect M. Das as Non-Executive Director	For	Against	Management
8.b	Reelect A.A.C. de Carvalho as Non-Executive Director	For	Against	Management

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### HEINEKEN NV

Ticker: HEIA Security ID: N39427211  
 Meeting Date: APR 20, 2017 Meeting Type: Annual  
 Record Date: MAR 23, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.a	Receive Report of Management Board (Non-Voting)	None	None	Management
1.b	Discuss Remuneration Report Containing Remuneration Policy for Management Board Members	None	None	Management
1.c	Adopt Financial Statements and Statutory Reports	For	For	Management
1.d	Receive Explanation on Dividend Policy	None	None	Management
1.e	Approve Dividends of EUR1.34 Per Share	For	For	Management

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1.f	Approve Discharge of Management Board	For	For	Management
1.g	Approve Discharge of Supervisory Board	For	For	Management
2.a	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	For	For	Management
2.b	Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital	For	For	Management
2.c	Authorize Board to Exclude Preemptive Rights from Issuance under Item 2b	For	For	Management
3	Amend Performance Criteria of Long-Term Incentive Plan	For	For	Management
4	Ratify Deloitte as Auditors	For	For	Management
5	Reelect J.F.M.L. van Boxmeer to Management Board	For	For	Management
6.a	Reelect M. Das to Supervisory Board	For	For	Management
6.b	Reelect V.C.O.B.J. Navarre to Supervisory Board	For	For	Management

### INTERNATIONAL BUSINESS MACHINES CORPORATION

Ticker: IBM Security ID: 459200101  
 Meeting Date: APR 25, 2017 Meeting Type: Annual  
 Record Date: FEB 24, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Kenneth I. Chenault	For	For	Management
1.2	Elect Director Michael L. Eskew	For	For	Management
1.3	Elect Director David N. Farr	For	For	Management
1.4	Elect Director Mark Fields	For	For	Management
1.5	Elect Director Alex Gorsky	For	For	Management
1.6	Elect Director Shirley Ann Jackson	For	For	Management
1.7	Elect Director Andrew N. Liveris	For	For	Management
1.8	Elect Director W. James McNerney, Jr.	For	For	Management
1.9	Elect Director Hutham S. Olayan	For	For	Management
1.10	Elect Director James W. Owens	For	For	Management
1.11	Elect Director Virginia M. Rometty	For	For	Management
1.12	Elect Director Sidney Taurel	For	For	Management
1.13	Elect Director Peter R. Voser	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Report on Lobbying Payments and Policy	Against	For	Shareholder
6	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder
7	Adopt Proxy Access Right	Against	For	Shareholder

### JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: FEB 28, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Mary C. Beckerle	For	For	Management
1b	Elect Director D. Scott Davis	For	For	Management



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1c	Elect Director Ian E. L. Davis	For	For	Management
1d	Elect Director Alex Gorsky	For	For	Management
1e	Elect Director Mark B. McClellan	For	For	Management
1f	Elect Director Anne M. Mulcahy	For	For	Management
1g	Elect Director William D. Perez	For	For	Management
1h	Elect Director Charles Prince	For	For	Management
1i	Elect Director A. Eugene Washington	For	For	Management
1j	Elect Director Ronald A. Williams	For	For	Management
2	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
6	Require Independent Board Chairman	Against	For	Shareholder

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JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100  
 Meeting Date: MAY 16, 2017 Meeting Type: Annual  
 Record Date: MAR 17, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Linda B. Bammann	For	For	Management
1b	Elect Director James A. Bell	For	For	Management
1c	Elect Director Crandall C. Bowles	For	For	Management
1d	Elect Director Stephen B. Burke	For	For	Management
1e	Elect Director Todd A. Combs	For	For	Management
1f	Elect Director James S. Crown	For	For	Management
1g	Elect Director James Dimon	For	For	Management
1h	Elect Director Timothy P. Flynn	For	For	Management
1i	Elect Director Laban P. Jackson, Jr.	For	For	Management
1j	Elect Director Michael A. Neal	For	For	Management
1k	Elect Director Lee R. Raymond	For	For	Management
1l	Elect Director William C. Weldon	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Prohibit Accelerated Vesting of Awards to Pursue Government Service	Against	For	Shareholder
7	Clawback Amendment	Against	Against	Shareholder
8	Report on Gender Pay Gap	Against	Against	Shareholder
9	Provide Vote Counting to Exclude Abstentions	Against	Against	Shareholder
10	Reduce Ownership Threshold for Shareholders to Call a Special Meeting	Against	For	Shareholder

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LTC PROPERTIES, INC.

Ticker: LTC Security ID: 502175102  
 Meeting Date: JUN 01, 2017 Meeting Type: Annual  
 Record Date: APR 17, 2017

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#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Boyd W. Hendrickson	For	For	Management
1.2	Elect Director James J. Pieczynski	For	For	Management
1.3	Elect Director Devra G. Shapiro	For	For	Management
1.4	Elect Director Wendy L. Simpson	For	For	Management
1.5	Elect Director Timothy J. Triche	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

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MATTEL, INC.

Ticker: MAT Security ID: 577081102  
 Meeting Date: MAY 19, 2017 Meeting Type: Annual  
 Record Date: MAR 24, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Michael J. Dolan	For	For	Management
1b	Elect Director Trevor A. Edwards	For	For	Management
1c	Elect Director Frances D. Fergusson	For	For	Management
1d	Elect Director Margaret H. Georgiadis	For	For	Management
1e	Elect Director Ann Lewnes	For	For	Management
1f	Elect Director Dominic Ng	For	For	Management
1g	Elect Director Vasant M. Prabhu	For	For	Management
1h	Elect Director Dean A. Scarborough	For	For	Management
1i	Elect Director Christopher A. Sinclair	For	For	Management
1j	Elect Director Dirk Van de Put	For	For	Management
1k	Elect Director Kathy White Loyd	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Approve Executive Incentive Bonus Plan	For	For	Management

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ORACLE CORPORATION

Ticker: ORCL Security ID: 68389X105  
 Meeting Date: NOV 16, 2016 Meeting Type: Annual  
 Record Date: SEP 19, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jeffrey S. Berg	For	Withhold	Management
1.2	Elect Director H. Raymond Bingham	For	Withhold	Management
1.3	Elect Director Michael J. Boskin	For	Withhold	Management
1.4	Elect Director Safra A. Catz	For	For	Management
1.5	Elect Director Bruce R. Chizen	For	Withhold	Management
1.6	Elect Director George H. Conrades	For	Withhold	Management
1.7	Elect Director Lawrence J. Ellison	For	For	Management
1.8	Elect Director Hector Garcia-Molina	For	For	Management
1.9	Elect Director Jeffrey O. Henley	For	For	Management
1.10	Elect Director Mark V. Hurd	For	For	Management
1.11	Elect Director Renee J. James	For	For	Management
1.12	Elect Director Leon E. Panetta	For	Withhold	Management
1.13	Elect Director Naomi O. Seligman	For	Withhold	Management

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2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management
4	Report on Lobbying Payments and Policy	Against	For	Shareholder

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### PERPETUAL FEDERAL SAVINGS BANK

Ticker: PFOH                      Security ID: 714273109  
 Meeting Date: JAN 25, 2017      Meeting Type: Annual  
 Record Date: DEC 09, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Charles L. Sweeting	For	Withhold	Management
1.2	Elect Director David P. Vernon	For	Withhold	Management
1.3	Elect Director Scott A. Fannin	For	For	Management
2	Ratify Crowe Horwath LLP as Auditors	For	Against	Management

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### PFIZER INC.

Ticker: PFE                      Security ID: 717081103  
 Meeting Date: APR 27, 2017      Meeting Type: Annual  
 Record Date: FEB 28, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Dennis A. Ausiello	For	For	Management
1.2	Elect Director Ronald E. Blaylock	For	For	Management
1.3	Elect Director W. Don Cornwell	For	For	Management
1.4	Elect Director Joseph J. Echevarria	For	For	Management
1.5	Elect Director Frances D. Fergusson	For	For	Management
1.6	Elect Director Helen H. Hobbs	For	For	Management
1.7	Elect Director James M. Kilts	For	For	Management
1.8	Elect Director Shantanu Narayan	For	For	Management
1.9	Elect Director Suzanne Nora Johnson	For	For	Management
1.10	Elect Director Ian C. Read	For	For	Management
1.11	Elect Director Stephen W. Sanger	For	For	Management
1.12	Elect Director James C. Smith	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Adopt Holy Land Principles	Against	Against	Shareholder
6	Amend Bylaws - Call Special Meetings	Against	For	Shareholder
7	Require Independent Board Chairman	Against	Against	Shareholder

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### SANOFI

Ticker: SAN                      Security ID: F5548N101  
 Meeting Date: MAY 10, 2017      Meeting Type: Annual/Special  
 Record Date: MAY 05, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Financial Statements and Statutory Reports	For	For	Management

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2	Approve Consolidated Financial Statements and Statutory Reports	For	For	Management
3	Approve Allocation of Income and Dividends of EUR 2.96 per Share	For	For	Management
4	Approve Auditors' Special Report on New Related-Party Transactions	For	For	Management
5	Approve Remuneration of Directors in the Aggregate Amount of EUR 1.750 Million	For	For	Management
6	Reelect Fabienne Lecorvaisier as Director	For	For	Management
7	Elect Bernard Charles as Director	For	For	Management
8	Elect Melanie Lee as Director	For	For	Management
9	Approve Remuneration Policy for Chairman of the Board	For	For	Management
10	Approve Remuneration Policy for CEO	For	For	Management
11	Non-Binding Vote on Compensation of Serge Weinberg, Chairman of the Board	For	For	Management
12	Non-Binding Vote on Compensation of Olivier Brandicourt, CEO	For	For	Management
13	Renew Appointment of PricewaterhouseCoopers Audit as Auditor	For	For	Management
14	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	For	For	Management
15	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1.289 Billion	For	For	Management
16	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 240 Million	For	For	Management
17	Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 240 Million	For	For	Management
18	Approve Issuance of Debt Securities Giving Access to New Shares of Subsidiaries and/or Existing Shares and/or Debt Securities, up to Aggregate Amount of EUR 7 Billion	For	For	Management
19	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	For	For	Management
20	Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	For	For	Management
21	Authorize Capitalization of Reserves of Up to EUR 500 Million for Bonus Issue or Increase in Par Value	For	For	Management
22	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	For	For	Management
23	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	For	For	Management
24	Amend Article 11 of Bylaws Re: Board of Directors	For	For	Management
25	Authorize Filing of Required Documents/Other Formalities	For	For	Management

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SANOFI

Ticker: SNY Security ID: 80105N105  
 Meeting Date: MAY 10, 2017 Meeting Type: Annual/Special  
 Record Date: MAR 30, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Financial Statements and Statutory Reports	For	For	Management
2	Approve Consolidated Financial Statements and Statutory Reports	For	For	Management
3	Approve Allocation of Income and Dividends of EUR 2.96 per Share	For	For	Management
4	Approve Auditors' Special Report on New Related-Party Transactions	For	For	Management
5	Approve Remuneration of Directors in the Aggregate Amount of EUR 1.750 Million	For	For	Management
6	Reelect Fabienne Lecorvaisier as Director	For	For	Management
7	Elect Bernard Charles as Director	For	For	Management
8	Elect Melanie Lee as Director	For	For	Management
9	Approve Remuneration Policy for Chairman of the Board	For	For	Management
10	Approve Remuneration Policy for CEO	For	For	Management
11	Non-Binding Vote on Compensation of Serge Weinberg, Chairman of the Board	For	For	Management
12	Non-Binding Vote on Compensation of Olivier Brandicourt, CEO	For	For	Management
13	Renew Appointment of PricewaterhouseCoopers Audit as Auditor	For	For	Management
14	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	For	For	Management
1	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1.289 Billion	For	For	Management
2	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 240 Million	For	For	Management
3	Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 240 Million	For	For	Management
4	Approve Issuance of Debt Securities Giving Access to New Shares of Subsidiaries and/or Existing Shares and/or Debt Securities, up to Aggregate Amount of EUR 7 Billion	For	For	Management
5	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	For	For	Management
6	Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	For	For	Management
7	Authorize Capitalization of Reserves of Up to EUR 500 Million for Bonus Issue or Increase in Par Value	For	For	Management
8	Authorize Capital Issuances for Use in	For	For	Management

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9	Employee Stock Purchase Plans Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	For	For	Management
10	Amend Article 11 of Bylaws Re: Board of Directors	For	For	Management
11	Authorize Filing of Required Documents/Other Formalities	For	For	Management

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### SOUTH STREET SECURITIES HOLDINGS, INC.

Ticker: Security ID: US1897589800  
 Meeting Date: MAY 17, 2017 Meeting Type: Annual  
 Record Date: APR 01, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Karsten Berlage	For	For	Management
1.2	Elect Director Art Certosimo	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Other Business	For	Abstain	Management

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### THE GOLDMAN SACHS GROUP, INC.

Ticker: GS Security ID: 38141G104  
 Meeting Date: APR 28, 2017 Meeting Type: Annual  
 Record Date: FEB 27, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Lloyd C. Blankfein	For	For	Management
1b	Elect Director M. Michele Burns	For	For	Management
1c	Elect Director Mark A. Flaherty	For	For	Management
1d	Elect Director William W. George	For	For	Management
1e	Elect Director James A. Johnson	For	For	Management
1f	Elect Director Ellen J. Kullman	For	For	Management
1g	Elect Director Lakshmi N. Mittal	For	For	Management
1h	Elect Director Adebayo O. Ogunlesi	For	For	Management
1i	Elect Director Peter Oppenheimer	For	For	Management
1j	Elect Director David A. Viniar	For	For	Management
1k	Elect Director Mark O. Winkelman	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

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### VENTAS, INC.

Ticker: VTR Security ID: 92276F100  
 Meeting Date: MAY 18, 2017 Meeting Type: Annual  
 Record Date: MAR 22, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Melody C. Barnes	For	For	Management

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1b	Elect Director Debra A. Cafaro	For	For	Management
1c	Elect Director Jay M. Gellert	For	For	Management
1d	Elect Director Richard I. Gilchrist	For	For	Management
1e	Elect Director Matthew J. Lustig	For	For	Management
1f	Elect Director Roxanne M. Martino	For	For	Management
1g	Elect Director Walter C. Rakowich	For	For	Management
1h	Elect Director Robert D. Reed	For	For	Management
1i	Elect Director Glenn J. Rufrano	For	For	Management
1j	Elect Director James D. Shelton	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

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### WAL-MART STORES, INC.

Ticker: WMT Security ID: 931142103  
 Meeting Date: JUN 02, 2017 Meeting Type: Annual  
 Record Date: APR 07, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director James I. Cash, Jr.	For	For	Management
1b	Elect Director Timothy P. Flynn	For	For	Management
1c	Elect Director Carla A. Harris	For	For	Management
1d	Elect Director Thomas W. Horton	For	For	Management
1e	Elect Director Marissa A. Mayer	For	For	Management
1f	Elect Director C. Douglas McMillon	For	For	Management
1g	Elect Director Gregory B. Penner	For	For	Management
1h	Elect Director Steven S Reinemund	For	For	Management
1i	Elect Director Kevin Y. Systrom	For	For	Management
1j	Elect Director S. Robson Walton	For	For	Management
1k	Elect Director Steuart L. Walton	For	For	Management
2	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Provide Proxy Access Right	Against	For	Shareholder
7	Require Independent Director Nominee with Environmental Experience	Against	Against	Shareholder

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### WELLS FARGO & COMPANY

Ticker: WFC Security ID: 949746101  
 Meeting Date: APR 25, 2017 Meeting Type: Annual  
 Record Date: MAR 01, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director John D. Baker, II	For	Against	Management
1b	Elect Director John S. Chen	For	Against	Management
1c	Elect Director Lloyd H. Dean	For	Against	Management
1d	Elect Director Elizabeth A. Duke	For	Against	Management
1e	Elect Director Enrique Hernandez, Jr.	For	Against	Management
1f	Elect Director Donald M. James	For	Against	Management
1g	Elect Director Cynthia H. Milligan	For	Against	Management
1h	Elect Director Karen B. Peetz	For	For	Management

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1i	Elect Director Federico F. Pena	For	Against	Management
1j	Elect Director James H. Quigley	For	Against	Management
1k	Elect Director Stephen W. Sanger	For	Against	Management
1l	Elect Director Ronald L. Sargent	For	For	Management
1m	Elect Director Timothy J. Sloan	For	For	Management
1n	Elect Director Susan G. Swenson	For	Against	Management
1o	Elect Director Suzanne M. Vautrinot	For	Against	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify KPMG LLP as Auditors	For	For	Management
5	Review and Report on Business Standards	Against	For	Shareholder
6	Provide for Cumulative Voting	Against	Against	Shareholder
7	Report on Divesting Non-Core Business	Against	Against	Shareholder
8	Report on Gender Pay Gap	Against	Against	Shareholder
9	Report on Lobbying Payments and Policy	Against	Against	Shareholder
10	Adopt Global Policy Regarding the Rights of Indigenous People	Against	For	Shareholder

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### YUM CHINA HOLDINGS, INC.

Ticker: YUMC Security ID: 98850P109  
 Meeting Date: APR 28, 2017 Meeting Type: Annual  
 Record Date: FEB 28, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Peter A. Bassi	For	For	Management
1b	Elect Director Ed Yiu-Cheong Chan	For	For	Management
1c	Elect Director Edouard Ettedgui	For	For	Management
2	Ratify KPMG Huazhen LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

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### YUM! BRANDS, INC.

Ticker: YUM Security ID: 988498101  
 Meeting Date: MAY 19, 2017 Meeting Type: Annual  
 Record Date: MAR 21, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Paget L. Alves	For	For	Management
1b	Elect Director Michael J. Cavanagh	For	For	Management
1c	Elect Director Christopher M. Connor	For	For	Management
1d	Elect Director Brian C. Cornell	For	For	Management
1e	Elect Director Greg Creed	For	For	Management
1f	Elect Director Mirian M. Graddick-Weir	For	For	Management
1g	Elect Director Thomas C. Nelson	For	For	Management
1h	Elect Director P. Justin Skala	For	For	Management
1i	Elect Director Elane B. Stock	For	For	Management
1j	Elect Director Robert D. Walter	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Adopt Policy and Plan to Eliminate	Against	For	Shareholder



