HomeStreet, Inc. Form 4 January 14, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

150,076.8

Ι

OMB

Number:

3235-0287 January 31,

0.5

Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

2. Issuer Name and Ticker or Trading

HomeStreet, Inc. [HMST]

Symbol

1(b).

Stock

(Print or Type Responses)

WILLIAMS BRUCE W

1. Name and Address of Reporting Person \*

(T () (M'111)				3. Date of Earliest Transaction					(Check an applicable)				
	(Last)	(First)	(Middle)				on						
601 UNION STREET, SUITE 2000				(Month/Day/Year) 01/10/2014					_X_ Direc	_	10% Owner		
										er (give title _	Other (specify		
									below)	be	elow)		
(Street)				4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
				Filed(Month/Day/Year)					Applicable Line)				
									_X_ Form filed by One Reporting Person				
SEATTLE, WA 98101									Form filed by More than One Reporting				
									Person				
	(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own							eneficially Owned			
	1.77.41 . 6	0.T. ('.D.)	24 D	1	2	4.0	.,.						
1.Title of 2. Transaction Date 2A. Deeme Security (Month/Day/Year) Execution								5. Amount of	6.	7. Nature of Indirect			
				1 \ /					Securities	Ownership	Beneficial Ownership		
	(Instr. 3)		any (Month/Day	Code Disposed of (D)					Beneficially Owned	Form:	(Instr. 4)		
			(Monui/Da	y/Year) (Instr. 8) (Instr. 3, 4 and 5)				3)	Following	Direct (D) or Indirect			
									Reported	(I)			
							(A)		Transaction(s)	(Instr. 4)			
							or		(Instr. 3 and 4)	(IIIsu. +)			
					Code V	Amount	(D)	Price	(msu. 3 and 4)				
	Common	01/10/2014			٨	252 (1)	٨	\$ 0	198,948.8	D			
	Stock	01/10/2014			A	252 <u>(1)</u>	A	\$ 0	(2)	D			
									_				
	Common										HomeStreet, Inc.		
									19,252.644	I	401(k) Savings		
	Stock										Plan $\frac{(3)}{}$		
											_		
	Common								163,128	I	Marina S.		
	Stock								103,126	1	Williams Trust (4)		
	Common								2,188.4	I	Marina S.		
	Stock								2,100.7	1	Williams Trust (5)		
	C												
	Common								150 076 8	ī	Estate of Walter		

B. Williams (6)

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Common Stock	150,073.6	I	Estate of Marie W. Williams (7)
Common Stock	55,281.6	I	Karen M. Zimmerman Trust
Common Stock	55,281.6	I	Steven W. Zimmerman Trust
Common Stock	750.4	I	Andrew Alvaro Mullins-Williams 2005 Trust (10)
Common Stock	1.2	I	William B. Williams Interim Trust (11)
Common Stock	0.4	I	Gro A. Buer (12)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

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WILLIAMS BRUCE W 601 UNION STREET, SUITE 2000 SEATTLE, WA 98101

## **Signatures**

/s/ Godfrey B. Evans, attorney in fact for Bruce W. Williams

01/14/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock issued based on Directors' compensation policy paid quarterly.
- (2) 31,547.2 shares held jointly with Gro A. Buer, Mr. Williams's spouse.
- (3) Participants in HomeStreet, Inc.'s 401(k) Savings Plan have the authority to direct voting of shares they hold through such plan.
- (4) Mr. Williams is the co-trustee with Gro A.Buer for the Marina S. Williams Trust dated 12/25/95. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (5) Mr. Williams is the sole trustee for the Marina S. Williams Trust dated 12/23/03. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (6) Mr. Williams is the executor of the estate of Walter B. Williams. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (7) Mr. Williams is the executor of the estate of Marie W. Williams. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (8) Mr. Williams is the sole trustee of the Karen M. Zimmerman Trust dated 12/22/2000. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (9) Mr. Williams is the sole trustee of the Steven W. Zimmerman Trust dated 12/22/2000. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (10) Mr. Williams is the sole trustee for the Andrew Alvaro Mullins-Williams Trust dated 11/29/2005. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (11) Mr. Williams is the sole trustee of the Walter B. Williams Interim Trust. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (12) Held individually by Gro A. Buer, Mr. Williams's spouse. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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