

STEINBERG JOSEPH S
Form 4
May 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEINBERG JOSEPH S

(Last) (First) (Middle)

C/O CRIMSON WINE GROUP LTD., 2700 NAPA VALLEY CORPORATE DRIVE

(Street)

NAPA, CA 94558

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Crimson Wine Group, Ltd [CWGL]

3. Date of Earliest Transaction (Month/Day/Year)

04/30/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock | 04/30/2018 | | P ⁽¹⁾ | | 1,300 A \$ 9.2 | 372,146 | D |
| Common Stock | 05/01/2018 | | P ⁽¹⁾ | | 1,300 A \$ 9.1 | 373,446 | D |
| Common Stock | 05/02/2018 | | P ⁽¹⁾ | | 500 A \$ 9.15 | 373,946 | D |
| Common Stock | | | | | | 107,862 | I |
| | | | | | | 107,860 | I |

By Paul S. Steinberg
2004 Trust

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| | | | | |
|--------------|---------|---|--|-----------------------------------|
| Common Stock | | | | By Rachel C. Steinberg 2004 Trust |
| Common Stock | 107,860 | I | | By Sarah A. Steinberg 2004 Trust |
| Common Stock | 57,403 | I | | By JSS 2010 Family Trust |
| Common Stock | 14,400 | I | | By Spouse |
| Common Stock | 720 | I | | By Daughter |
| Common Stock | 200,000 | I | | By JSS Holding Corp - 1 |
| Common Stock | 70,000 | I | | By JSS Holding Corp - 2 |
| Common Stock | 200,000 | I | | By JSS Holding Corp - 3 |
| Common Stock | 200,000 | I | | By JSS Holding Corp - 4 |
| Common Stock | 200,000 | I | | By JSS Holding Corp - 5 |
| Common Stock | 200,000 | I | | By JSS Holding Corp - 6 |
| Common Stock | 200,000 | I | | By JSS Holding Corp - 7 |
| Common Stock | 114,806 | I | | By Steinberg Holding Inc - D |
| Common Stock | 114,806 | I | | By Steinberg Holding |

| | | | | | | | |
|--------------|--|--|--|--|---------|---|--|
| Common Stock | | | | | 114,806 | I | Inc - E By Steinberg Holding Inc - F |
| Common Stock | | | | | 114,806 | I | By Steinberg Holding Inc - G |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| STEINBERG JOSEPH S C/O CRIMSON WINE GROUP LTD. 2700 NAPA VALLEY CORPORATE DRIVE NAPA, CA 94558 | X | | | |

Signatures

/s/ Shannon McLaren as Attorney-in-Fact
Date: 05/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to a Rule 10b5-1 plan entered into by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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