#### **ROTH MICHAEL ISOR**

Form 4 May 08, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5 obligations

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

DOTH MICHAEL ICOD			2. Issuer Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer			
			PITNEY BOWES INC /DE/ [PBI]						(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction										
	(Month/Day/Year)						Director	10%	6 Owner				
3001 SUMMER STREET 0			05/04/20	05/04/2018						e titleOth below)	er (specify		
	4. If Amendment, Date Original						6. Individual or Joint/Group Filing(Check						
Fil				h/Day/Year	r)				Applicable Line) _X_ Form filed by One Reporting Person				
STAMFORI	O, CT 06926								Form filed by Person	More than One Re	eporting		
(City)	(State)	(Zip)	Table	I - Non-I	Deri	vative S	Securi	ties Ac	quired, Disposed	of, or Beneficial	lly Owned		
1.Title of	2. Transaction D	ate 2A. Dee	emed	3.	4	. Securit	ties		5. Amount of	6. Ownership	7. Nature of		
Security	ecurity (Month/Day/Year) Execution		on Date, if Transaction			Acquired	(A) o	r	Securities Form: Direct Indirect				
(Instr. 3)	any			Code	Code Disposed of (D)			)	Beneficially	(D) or	Beneficial		
		(Month/	(Month/Day/Year)		(]	(Instr. 3, 4 and 5)			Owned Indirect (I) Owne	Ownership			
									Following (Instr. 4) (Instr. 4)				
							(A) or		Reported Transaction(s)				
				Code V	I A	Amount	(D)	Price	(Instr. 3 and 4)				
Common	05/04/2018			M	6	5,309	A	\$0	61,058.494	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: ROTH MICHAEL ISOR - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	orDerivative Securities Acquired ( Disposed of			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	05/04/2018		M		6,309	<u>(1)</u>	<u>(1)</u>	Common Stock	6,309	
Restricted Stock Units	<u>(2)</u>	05/07/2018	(3)	A	11,351		(3)	(3)	Common Stock	11,351	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ROTH MICHAEL ISOR 3001 SUMMER STREET STAMFORD, CT 06926

# **Signatures**

/s/ George Brereton - POA for Michael Roth

05/08/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The RSUs were granted on May 8, 2017 and have a one year cliff vesting.
- (2) Each restricted stock unit represents a contingent right to receive one share of Pitney Bowes stock.
- (3) Each unit represents a contingent right to receive one share of Pitney Bowes common stock that will cliff vest 100% in one year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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