Syvrud Cherri Form 4 February 05, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Syvrud Cherri

(Last)

Symbol GIBRALTAR INDUSTRIES, INC.

(Check all applicable)

[ROCK]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Officer (give title Other (specify below)

3556 LAKESHORE RD., P.O. BOX

(Street)

(First)

02/01/2019

Senior Vice President

2028

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BUFFALO, NY 14219-0228

(City)	(State) (Zip	Table I	- Non-Deri	vative Sec	uritie	s Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	on Date 2A. Deemed /Year) Execution Date, if any (Month/Day/Year)		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		Securities Competition of the securities Beneficially Found Following Reported Competition of the securities of the secu	Ownership Indirect Form: Beneficial	Beneficial Ownership	
Common Stock	02/01/2019		Code V A	Amount 363 (1)	(D)	Price \$ 0 (1)	1,171	D	
Common Stock	02/01/2019		F	143 (2)	D	\$ 36.53 (2)	1,028	D	
Restricted Stock Units (LTIP 02/01/2017)	02/01/2019		D	363 (4)	D	\$ 0 (4)	726	D	

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Common Stock	808	D
Discretionary Restricted Stock Units (February 2017)	2,000	D
Performance Stock Units (February 2017)	1,350	D
Performance Stock Units (March 2018)	8,096	D
Restricted Stock Unit (LTIP 6/10/2016)	911	D
Restricted Stock Units (LTIP 02/01/2017)	1,089	D
Restricted Stock Units (LTIP 3/1/2018)	2,024	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amor Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of

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					Shares
Performance Units (2016)	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Performance Stock Units	5,870
Restricted Stock Unit (MSPP Match Post-2012)	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Common Stock	2,184.03
Restricted Stock Unit (MSPP Post-2012)	<u>(7)</u>	<u>(7)</u>	<u>(7)</u>	Common Stock	2,184.03

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Syvrud Cherri 3556 LAKESHORE RD. P.O. BOX 2028 BUFFALO, NY 14219-0228

Senior Vice President

## **Signatures**

/s/ Paul J. Schulz, Attorney in Fact for Cherri Syvrud

02/05/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion of restricted stock units awarded as part of the Company 's Long Term Incentive Plan into common stock upon vesting.
- (2) Represents common stock retained by the Company upon conversion of Reporting Person's restricted stock units into shares of common stock in satisfaction of the Reporting Person's individual minimum statutory withholding obligation.
- Represents restricted stock units awarded as part of the Company 's Long Term Incentive Plan. Twenty-five percent (25%) of the total (3) units awarded vest and are payable, solely in shares of common stock of the Company, on February 1, 2018 and on each February 1st thereafter through February 1, 2021.
- Represents the conversion of restricted stock units to shares of common stock upon vesting of restricted stock units awarded as part of the (4) Company 's Long Term Incentive Plan. Twenty-five percent (25%) of the total units awarded vest and are payable, solely in shares of common stock of the Company on each anniversary of the February 1, 2017 award date.
  - Performance Units vest and are paid at the end of three (3) consecutive calendar year periods ending December 31, 2018, or, if earlier, upon death, disability or retirement. Performance Units are forfeited if employment is terminated before December 31, 2018, for reasons
- (5) other than death, disability or retirement or by the Company without cause. Performance Units are payable solely in cash in an amount equal to the ninety (90) day trailing average price of one (1) share of the Company 's common stock determined as of December 31, 2018, or if applicable, death, disability or retirement.
- (6) Restricted stock units are forfeited if Reporting Person's service as an officer of the Company is terminated prior to age sixty (60). If service as an officer continues through age sixty (60), restricted stock units are payable solely in cash in one lump sum payment or in five

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(5) or ten (10) consecutive, substantially equal annual installments, whichever distribution form is elected by the Reporting Person, beginning six (6) months following termination of service. Each restricted stock unit is converted to cash in an amount equal to the fair market value (200 day rolling average) of one share of the Company's common stock on the date of termination of the Reporting Person's service as an officer of the Company.

Restricted stock units are payable solely in cash in one lump sum payment or in five (5) or ten (10) consecutive, substantially equal annual installments, whichever distribution form is elected by the Reporting Person, beginning six (6) months following termination of service as an officer of the Company. Each restricted stock unit is converted to cash in an amount equal to the fair market value (200 day rolling average) of one share of the Company's common stock on the date of termination of the Reporting Person's service as an officer of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.