

Edgar Filing: Lumentum Holdings Inc. - Form SD

Lumentum Holdings Inc.  
Form SD  
May 31, 2018

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form SD  
Specialized Disclosure Report  
Lumentum Holdings Inc.  
(Exact name of Registrant as specified in its charter)

Delaware	001-36861	47-3108385
(State or Other Jurisdiction of Incorporation)	(Commission File Number)	(IRS Employer Identification Number)

400  
North  
McCarthy  
Boulevard,  
Milpitas,  
CA

(Address  
of  
Principal  
Executive  
Offices)

Judy Hamel, Esq., Sr. Vice President, General Counsel and Secretary  
(408) 546-5483

(Name and telephone number, including area code, of the person to contact in connection with this report)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

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Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

☒ Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1, 2017 to December 31, 2017

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Section 1 – Conflict Minerals Disclosure

Item 1.01 Conflict Minerals Disclosure and Report

Conflict Minerals Disclosure

Information required by this Item is incorporated by reference to the Conflict Minerals Report attached hereto as Exhibit 1.01.

A copy of this Form SD, including the Lumentum Holdings Inc. Conflict Minerals Report, is publicly available at [www.lumentum.com/investors](http://www.lumentum.com/investors).

Item 1.02 Exhibit

The Conflict Minerals Report required by Item 1.01 is filed as Exhibit 1.01 to this Form SD.

Section 2 - Exhibits

Item 2.01 Exhibits

The  
following  
exhibit is  
filed as  
part of  
this  
Report.

Exhibit No.	Description
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1.01	<u>Conflict Minerals Report of Lumentum Holdings Inc. for the reporting period from January 1, 2017 to December 31, 2017</u>
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Signature

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

LUMENTUM HOLDINGS INC.

By: /s/ Judy Hamel

Name: Judy Hamel

Title: Secretary and General Counsel

May 31, 2018