Duffy Julie G Form 3 July 27, 2017

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TEXTRON INC [TXT] Duffy Julie G (Month/Day/Year) 07/17/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) TEXTRON INC., 40 (Check all applicable) WESTMINSTER STREET (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Executive VP - Human Resources Person PROVIDENCE, ÂRIÂ 02903 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 12,831 Held on behalf of the Reporting Common Stock 10,736.62 Ι Person by the Textron Savings Plan (as of 07/17/2017). Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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1. Title of Derivative Security (Instr. 4)	Derivative Security 2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option - Right to Buy	(1)	03/01/2024	Common Stock	6,562	\$ 39.7	D	Â
Employee Stock Option - Right to Buy	(2)	03/01/2025	Common Stock	5,727	\$ 44.31	D	Â
Employee Stock Option - Right to Buy	(3)	03/01/2026	Common Stock	7,009	\$ 34.5	D	Â
Employee Stock Option - Right to Buy	(4)	03/10/2027	Common Stock	6,260	\$ 49.48	D	Â
Stock Units	(5)	(5)	Common Stock	420.94 (6)	\$ <u>(7)</u>	D	Â
Stock Units	(5)	(5)	Common Stock	60.891 (8)	\$ <u>(7)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting owner rame, reacted	Director	10% Owner	Officer	Other		
Duffy Julie G TEXTRON INC. 40 WESTMINSTER STREET PROVIDENCE, RI 02903	Â	Â	Executive VP - Human Resources	Â		

## **Signatures**

/s/ Ann T. Willaman, Attorney-in-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vested in three (3) equal annual installments. The first, second and third installments became exercisable on March 1, 2015, March 1, 2016 and March 1, 2017 (respectively).
- (2) This option vests in three (3) equal annual installments. The first and second installments became exercisable on March 1, 2016 and March 1, 2017 (respectively), and the third installment will become exercisable on March 1, 2018.
- (3) This option vests in three (3) equal annual installments. The first installment became exercisable on March 1, 2017, and the second and third installments will become exercisable on March 1, 2018 and March 1, 2019 (respectively).
- (4) This option will vest in three (3) equal annual installments. The first, second and third installments will become exercisable on March 1, 2018, March 1, 2019 and March 1, 2020 (respectively).

Reporting Owners 2

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- (5) Payable in cash following the conclusion of Reporting Person's employment with Textron Inc.
- (6) Acquired pursuant to the Textron Spillover Savings Plan.
- (7) Each stock unit is valued based on the value of one (1) share of Textron Inc. Common Stock.
- (8) Acquired pursuant to the Deferred Income Plan for Textron Executives.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.