von Pentz Markwart Form 4 November 06, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per 0.5 response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Stock (1) \$1 Par

Common

11/02/2017

(Print or Type Responses)

(Time of Type	responses)											
1. Name and Address of Reporting Person ** von Pentz Markwart			2. Issuer Name and Ticker or Trading Symbol DEERE & CO [DE]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)  DEERE &  DEERE PL  MOLINE,	& COMPANY, ONE JOHN 11/0 PLACE  (Street) 4. If A Filed			f Amendment, Date Original (d(Month/Day/Year)				Director 10% Owner _X Officer (give title Other (specify below) Pres.Ag&TurfDiv.Eur.Asia,Afr.  6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tal	olo I. Non	Dorivotiv	o Soo	urities A cou	ired Disposed of	or Ronoficiall	y Ownod		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)  2. A. Deemed Execution Date, if any (Month/Day/Year)			3. Transactic Code (Instr. 8)		ties A sed of 4 and (A) or	cquired (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Ownership Indirect y Form: Beneficial Direct (D) Ownership or Indirect (I) n(s) (Instr. 4)			
\$1 Par Common Stock (1)	11/02/2017			M M	624	A	\$ 88.185	42,650	D			
\$1 Par Common Stock (1)	11/02/2017			S	624	D	\$ 135.01 (2)	42,026	D			
\$1 Par Common	11/02/2017			M	376	A	\$ 79.24	42,402	D			

S

376

D

\$ 135.01

(2)

42,026

D

#### Edgar Filing: von Pentz Markwart - Form 4

Stock (1)							
\$1 Par Common Stock (1)	11/03/2017	M	1,248	A	\$ 88.185	43,274	D
\$1 Par Common Stock (1)	11/03/2017	S	1,248	D	\$ 135.0175 (3)	42,026	D
\$1 Par Common Stock (1)	11/03/2017	M	752	A	\$ 79.24	42,778	D
\$1 Par Common Stock (1)	11/03/2017	S	752	D	\$ 135.0175 (3)	42,026 (4)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ransaction Derivative dode Securities		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Market Priced Options	\$ 88.185	11/02/2017		M		624	<u>(6)</u>	12/10/2024	Common Stock	624
Market Priced Options (1) (5)	\$ 79.24	11/02/2017		M		376	<u>(7)</u>	12/09/2025	Common Stock	376
Market Priced Options	\$ 88.185	11/03/2017		M		1,248	<u>(6)</u>	12/10/2024	Common Stock	1,248

Market

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

von Pentz Markwart DEERE & COMPANY ONE JOHN DEERE PLACE MOLINE, IL 61265

Pres.Ag&TurfDiv.Eur.Asia,Afr.

## **Signatures**

/s/ Paul Wilczynski, Assistant Secretary, Deere & Company, Under Power of Attorney

11/06/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Rule 16b-3 options and related sale of shares pursuant to a Rule 10(b)5-1 stock option exercise plan adopted on June 7, 2017.
- The sale price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.00 to \$135.03, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- The sale price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.00 to \$135.03, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
  - Includes 12,666 restricted stock units subject to restrictions or limitations under the John Deere Omnibus Equity and Incentive Plan.
- (4) Shares are not held by reporting person during the period of limitation or restriction. Units are settled solely in shares and include the ability to have shares withheld to satisfy income tax obligations.
- (5) All options include the ability to withhold shares upon the exercise of the option to satisfy income tax obligations.
- (6) The options become exercisable in three approximately equal installments on December 10, 2015, 2016, and 2017.
- (7) The options become exercisable in three approximately equal installments on December 9, 2016, 2017, and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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