Edgar Filing: KELLNER TED D - Form 5

KELLNER TED D

Form 5

October 31, 2002

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

Check this box if no subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 194

OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response...0.5

0

Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol				6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Kellner Ted D	Marshall & Ilsley	Corporation (N	X	Director		10% Owner					
					Officer (give titl below)	е	Other (specify below)				
(Last) (First) (Middle) 770 North Water Street	3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	4. Statement for Month/Day/Ye	7. Individual or Joint/Group Filing (Check Applicable Line)								
		5. If Amendment	X	Form file	Form filed by One Reporting Person						
(Street)		Original (Month/Day/Year		Form file	ed by M	Nore than One Repo	orting Person				
Milwaukee WI 53202		02/13/									
(City) (State) (Zip)	Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	Date C C (Month/Day/Year)	A. Deeimandsaction Execution Execution Date, (Instr.8) Code V Month/Day/Year)	4. Securities or Dispos (Instr. 3, 4	sed of ([D)	of Secul Be Owne Fol Repo Tra	llowing(Instr. 4) 'nted ansaction(s) nstr.	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

Edgar Filing: KELLNER TED D - Form 5

4)

Derivative Security (Instr. 3) Or Exercise Price of Derivative Security Or Exercise Price of Derivative Securities Or Disposed of (D) (Instr. 3, 4 and 5) Or Disposed of (D) (Instr. 3, 4 and 5) Or Disposed of (D) (Instr. 3, 4 and 5) Or Date Expiration Date (Instruction Dat							⇇		₩	$\overline{}$	+-			
Common Stock	Common Stock										1,5001	D		
FORM 4 (continued) Table II Derivative Securities Acquired, Disposed (e.g., puts, calls, warrants, options, conversion or Exercise Price of Code (Instr. 3) Phantom Stock Units Societ Options \$0^2 12/31/003^3	Common Stock										25,000	I	Trust	
1. Title of Derivative Security (Instr. 3) Phantom Stock Units Phantom Stock Ontions Stock Options (e.g., puts, calls, warrants, options, converged, e.g., puts, calls, warrants, options, calls, warrants, e.g., particular particular particular private (laborative private particular particul	Common Stock										504,200	I		
1. Title of Derivative Security (Instr. 3) Phantom Stock Units Phantom Stock Ontions Stock Options (e.g., puts, calls, warrants, options, converged, e.g., puts, calls, warrants, options, calls, warrants, e.g., particular particular particular private (laborative private particular particul									\prod		$oxed{oxed}$			
1. Title of Derivative Security (Instr. 3) Phantom Stock Units Phantom Stock Ontions Stock Options (e.g., puts, calls, warrants, options, converged, e.g., puts, calls, warrants, options, calls, warrants, e.g., particular particular particular private (laborative private particular particul									\perp		<u> </u>			
1. Title of Derivative Security (Instr. 3) Phantom Stock Units Phantom Stock Ontions Portion 4 (Collimited) 1. Title of Derivative Security (Instr. 3) Phantom Stock Ontions Portion 4 (Continued) 2. Conversion or Exercise Price of Date (Month/Day/Year) Date (Month/Day/Year) 3. Transaction Date (Execution Date, if any (Month/Day/Year) (Month/Day/Year) A 4. Transaction S. Number of Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) A 4. Transaction Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) A 407.0673 First Options Stock Ontions Stock Ontions Stock Options Stock Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options Stock Option Stock Options Stock Options Stock Options Stock Options Stock Options Stock Option Stock Optio					<u> </u>				\perp				<u> </u>	
1. Title of Derivative Security (Instr. 3) Phantom Stock Units Phantom Stock Ontions Portion 4 (Collimited) 1. Title of Derivative Security (Instr. 3) Phantom Stock Ontions Portion 4 (Continued) 2. Conversion or Exercise Price of Date (Month/Day/Year) Date (Month/Day/Year) 3. Transaction Date (Execution Date, if any (Month/Day/Year) (Month/Day/Year) A 4. Transaction S. Number of Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) A 4. Transaction Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) A 407.0673 First Options Stock Ontions Stock Ontions Stock Options Stock Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options Stock Option Stock Options Stock Options Stock Options Stock Options Stock Options Stock Option Stock Optio					<u> </u>				\perp					
1. Title of Derivative Security (Instr. 3) Phantom Stock Units Phantom Stock Ontions Portion 4 (Collimited) 1. Title of Derivative Security (Instr. 3) Phantom Stock Ontions Portion 4 (Continued) 2. Conversion or Exercise Price of Date (Month/Day/Year) Date (Month/Day/Year) 3. Transaction Date (Execution Date, if any (Month/Day/Year) (Month/Day/Year) A 4. Transaction S. Number of Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) A 4. Transaction Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) A 407.0673 First Options Stock Ontions Stock Ontions Stock Options Stock Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options Stock Option Stock Options Stock Options Stock Options Stock Options Stock Options Stock Option Stock Optio					<u> </u>				\perp				<u> </u>	
1. Title of Derivative Security (Instr. 3) Phantom Stock Units Phantom Stock Ontions Portion 4 (Collimited) 1. Title of Derivative Security (Instr. 3) Phantom Stock Ontions Portion 4 (Continued) 2. Conversion or Exercise Price of Date (Month/Day/Year) Date (Month/Day/Year) 3. Transaction Date (Execution Date, if any (Month/Day/Year) (Month/Day/Year) A 4. Transaction S. Number of Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) A 4. Transaction Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) A 407.0673 First Options Stock Ontions Stock Ontions Stock Options Stock Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options Stock Option Stock Options Stock Options Stock Options Stock Options Stock Options Stock Option Stock Optio									\perp				<u> </u>	
1. Title of Derivative Security (Instr. 3) Phantom Stock Units Phantom Stock Ontions Portion 4 (Collimited) 1. Title of Derivative Security (Instr. 3) Phantom Stock Ontions Portion 4 (Continued) 2. Conversion or Exercise Price of Date (Month/Day/Year) Date (Month/Day/Year) 3. Transaction Date (Execution Date, if any (Month/Day/Year) (Month/Day/Year) A 4. Transaction S. Number of Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) A 4. Transaction Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) A 407.0673 First Options Stock Ontions Stock Ontions Stock Options Stock Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options \$25.21875 Obligation Date (Month/Day/Year) A 407.0673 First Options Stock Option Stock Options Stock Options Stock Options Stock Options Stock Options Stock Option Stock Optio					<u> </u>				\perp					
1. Title of Derivative Security (Instr. 3) Phantom Stock Units Phantom Stock Ontions Stock Options (e.g., puts, calls, warrants, options, converged, e.g., puts, calls, warrants, options, calls, warrants, e.g., particular particular particular private (laborative private particular particul				_			F		_	т ы. п'	The missest	· Committee	· ····································	
Derivative Security (Instr. 3) Or Exercise Price of Derivative Security (Instr. 3) Or Exercise Price of Derivative Security Or Exercise Price of Derivative Security Or Exercise Price of Derivative Security Or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Or Disposed of (D) Or Date Expiration Or Disposed of (D) Or Date Expiration Or Disposed of (D) Or Disposed of (FORM 4 ((continued)				_				Table II				
Code V (A) (D) Exercisable Date Tit	Derivative or Exercis Security Price of (Instr. 3) Derivative		Date		Execution Date, if any			Code (Instr.8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		ive ies ed ed	and Expiration Date		7. Title ai Amour Underl Securi (Instr.	
Stock Units 407.0673 Stock Units Stock Options \$25.21875 06/30/00 G V 15,000 04/25/00 Communication Co								Code	V	(A)	(D)			Title
Stock Ontions Office Collins	Phantom Stock Units	\$02	12/31/00 ³					A		407.0673		4	5	Commo
	Stock Options	\$25.21875	06/30/00					G	V		15,000	04/25/00	04/25/10	Commo
							4		\exists					
						_								
				_			_]				Ш			

Edgar Filing: KELLNER TED D - Form 5

Explanation of Responses:

1 Mr. Kellner disclaims beneficial ownership in excess of his pecuniary interest.

2 1 for 1 basis

- 3 Represents shares acquired under The Directors Deferred Compensation Plan for period ending 12-31-01. The Director receives phantom stock units in lieu of cash fees. Units are awarded monthly for the directors retainer and also for each board or committee meeting attended at prices ranging from \$50.6488 to \$61.5056.
- 4 Immediately

5 None

**Signature of
Reporting Person
Date

By: Ryan E. Daniels, Attorney-in-fact

Kellner, Ted D

770 North Water Street

Milwaukee WI 53202

Marshall & Ilsley Corporation (MI)

10/30/2002

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.