## Edgar Filing: VIRTUS INVESTMENT PARTNERS, INC. - Form 4

VIRTUS INVESTMENT PARTNERS, INC. Form 4 March 16, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Angerthal Michael A Issuer Symbol VIRTUS INVESTMENT (Check all applicable) PARTNERS, INC. [VRTS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_Officer (give title (Month/Day/Year) below) below) C/O VIRTUS INVESTMENT 03/15/2017 EVP, Chief Financial Officer PARTNERS, 100 PEARL STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HARTFORD, CT 06103 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) anv Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Month/Day/Year) (Instr. 8) (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) (D) Price Code V Amount

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

A

Common

Stock

03/15/2017

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

D

36,821 (2)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1.826

(1)

\$0

A

1. Title of Derivative Security (Instr. 3)	or Exercise			4. Transactio Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans
				Code V	of (D) (Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr
Reporting Owners											
Re	porting Owne	r Name / Address	<b>Relationships</b> Director 10% Owner Officer						Other		

EVP, Chief Financial Officer

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Angerthal Michael A C/O VIRTUS INVESTMENT PARTNERS 100 PEARL STREET HARTFORD, CT 06103

## Signatures

/s/Mark S. Flynn, Attorney-in-Fact

03/16/2017

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares comprise an award of restricted stock units ("RSUs") granted to the reporting person pursuant to the Company's
 2017 Long Term Incentive Plan. Subject to acceleration in certain circumstances, the RSUs are scheduled to cliff vest on March 15, 2020 and will be settled for shares of common stock on a one-for-one basis upon vesting.

(2) This number includes (i) 2,610 RSUs that are scheduled to cliff vest on March 15, 2019; and (ii)1,826 RSUs that are scheduled to cliff vest on March 15, 2020. RSUs will be settled for shares of common stock on a one-for-one basis upon vesting. In connection with 1,708 RSUs which settled for shares of common stock on March 15, 2017, the associated tax withholding was paid in cash by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.