Identiv, Inc. Form 3

December 03, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Common Stock

Common Stock

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Identiv, Inc. [INVE] Finney Steven T (Month/Day/Year) 11/18/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O IDENTIV, INC., 2201 (Check all applicable) WALNUT AVENUE, SUITE 310 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Interim CFO _X_ Form filed by One Reporting Person FREMONT. CAÂ 94538 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5)

3,563 (1)

 $11,000^{(2)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
2. Date Exercisable and General Securities Underlying Conversion Ownership Derivative Security
3. Title and Amount of Securities Underlying Conversion Ownership Ownership Form of General Ownership (Instr. 5)

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | |
|--------------------------------------|---------------------|--------------------|---------------------|----------------------------------|------------------------------------|---|---|
| Employee Stock Option (right to buy) | (3) | 06/05/2017 | Common Stock | 1,500 | \$ 8 | D | Â |
| Employee Stock Option (right to buy) | (4) | 12/18/2017 | Common Stock | 2,500 | \$ 5.2 | D | Â |
| Employee Stock Option (right to buy) | (5) | 03/13/2018 | Common Stock | 16,000 | \$ 8.8 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--------------------------------|---------------|-----------|---------------|------|--|
| | Director | 10% Owner | Officer | Othe | |
| Finney Steven T | | | | | |
| C/O IDENTIV, INC. | â | â | Interim CFO | â | |
| 2201 WALNUT AVENUE, SUITE 310 | Α | А | A Interim CFO | A | |
| FREMONT Â CA Â 94538 | | | | | |

Signatures

| /s/ Steven | 12/03/2015 |
|----------------|------------|
| Finney | 12/03/201. |
| **Signature of | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units vesting 1/4 on March 13, 2015 with additional vesting quarterly over the following two years. Of the original award of 4,000 RSUs, 437 have been traded to cover taxes to date.
- (2) Restricted Stock Units vesting 1/4 on December 15, 2015 with additional vesting of 1/12 quarterly over the following two years.
- (3) The option vests and becomes exercisable as to 1/4 of the underlying shares on June 5, 2014 and in equal monthly installments over the following three years.
- (4) The option vests and becomes exercisable as to 1/4 of the underlying shares on December 18, 2014 and in equal monthly installments over the following three years
- (5) The option vests and becomes exercisable as to 1/4 of the underlying shares on March 13, 2015 and in equal monthly installments over the following three years..

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Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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