

Edgar Filing: RED HAT INC - Form SC 13G/A

RED HAT INC  
Form SC 13G/A  
January 16, 2003

SCHEDULE 13G

Under the Securities Exchange Act of 1934  
(Amendment No. 3)\*

RED HAT, INC.

-----  
(Name of Issuer)

COMMON STOCK, PAR VALUE \$.0001 PER SHARE

-----  
(Title of Class of Securities)

756577 10 2

-----  
(CUSIP Number)

December 31, 2002

-----  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
- Rule 13d-1(c)
- Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 756577 10 2  
-----

-----  
1. Names of Reporting Persons.  
I.R.S. Identification Nos. of above persons (entities only).  
Frank Batten, Jr.

-----  
2. Check the Appropriate Box if a Member of a Group (See Instructions).  
(a) \_\_\_\_\_  
(b) \_\_\_\_\_

-----  
3. SEC Use Only  
\_\_\_\_\_  
-----

Edgar Filing: RED HAT INC - Form SC 13G/A

4. Citizenship or Place of Organization:  
United States of America

-----  
Number of Shares Beneficially Owned by Each Reporting Person With:

5. Sole Voting Power:  
1,895,753 shares (see Item 4)

-----  
6. Shared Voting Power:  
0 shares

-----  
7. Sole Dispositive Power:  
1,895,753 shares (see Item 4)

-----  
8. Shared Dispositive Power:  
0 shares

-----  
9. Aggregate Amount Beneficially Owned by Each Reporting Person:  
21,398,728 shares (see Item 4)

-----  
10. Check if the Aggregate Amount in Row (11) Excludes Certain Shares  
(See Instructions).  
\_\_\_\_\_

-----  
11. Percent of Class Represented by Amount in Row (11):  
12.6%

-----  
12. Type of Reporting Person (See Instructions):  
IN

-----  
.....  
.....  
.....  
.....  
.....  
-----

Item 1.

- (a) Name of Issuer:  
Red Hat, Inc.
- (b) Address of Issuer's Principal Executive Offices:  
1801 Varsity Drive  
Raleigh, North Carolina 27606.

Item 2.

Edgar Filing: RED HAT INC - Form SC 13G/A

- (a) Name of Person Filing:  
Frank Batten, Jr.  
Address of Principal Business Office or, if none,  
Residence:
- (b) The address of the principal business office of Frank  
Batten, Jr., is c/o Landmark Communications, Inc., 150 W.  
Brambleton Avenue, Norfolk, Virginia 23510-2075.
- (c) Citizenship:  
U.S.A.
- (d) Title of Class of Securities:  
Common Stock, \$.0001 Par Value
- (e) CUSIP Number:  
756577 10 2

Item 3. If this statement is filed pursuant to ss.240.13d-1(b) or  
240.13d-2(b) or (c), check whether the person filing is a:  
Not Applicable.

Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage  
of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned: 21,398,728 shares.  
Shares beneficially owned by Mr. Batten include 1,895,753  
shares held of record by the Frank Batten, Jr. Trust (Mr.  
Batten has the power to vote such shares as sole acting  
trustee) and 19,502,975 shares held of record by the 1998  
Frank Batten, Jr. Grantor Annuity Trust (Mr. Batten holds  
no voting power over such shares). Mr. Batten disclaims  
beneficial ownership of these shares except to the extent  
of his pecuniary interest therein, and this statement  
shall not be deemed an admission that Mr. Batten is the  
beneficial owner of these shares for any purpose.
- (b) Percent of class: 12.6%  
The foregoing percentage is based on 170,480,014 shares of  
Common Stock reported to be outstanding as of November 30,  
2002 in a Form 10-Q filed on January 10, 2003.
- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote:  
1,895,753 shares
  - (ii) Shared power to vote or to direct the vote:  
0 shares
  - (iii) Sole power to dispose or to direct the  
disposition of:  
1,895,753 shares
  - (iv) Shared power to dispose or to direct the  
disposition of:  
0 shares

3 of 4

Instruction. For computations regarding securities which represent a right to  
acquire an underlying security see (s)240.13d3(d)(1).

Item 5. Ownership of Five Percent or Less of a Class  
Not Applicable.

Edgar Filing: RED HAT INC - Form SC 13G/A

- Item 6. Ownership of More than Five Percent on Behalf of Another Person.  
Not Applicable.
- Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company  
Not Applicable.
- Item 8. Identification and Classification of Members of the Group  
Not Applicable.
- Item 9. Notice of Dissolution of Group  
Not Applicable.
- Item 10. Certification  
  
Not Applicable. This Amendment to Schedule 13G is not filed pursuant to Rule 13d-1(b) or Rule 13d-1(c).

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: 1-14-03

-----

/s/ Frank Batten, Jr.

-----

Frank Batten, Jr.