Tadano Ltd. Form 4 March 15, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Tadano Ltd.

2. Issuer Name and Ticker or Trading Symbol

Manitex International, Inc. [MNTX]

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(First) (Last)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year)

03/13/2019

Director

10% Owner

KO-34, SHINDEN-CHO,

Officer (give title below)

Other (specify

TAKAMATSU

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

KAGAWA, M0 761-0185

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if (Month/Day/Year)

(Middle)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or Code V Amount (D)

Transaction(s) (Instr. 3 and 4) Price

 $2,927,542 \stackrel{(1)}{=}$

Common Stock

03/13/2019

9,000 A (1)(2)

D (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1.	Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
D	erivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
S	ecurity	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(I	nstr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
		Derivative				Securities			(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						`
						4, and 5)						
						, ,						
										Amount		
							Date	Expiration		or		
							Exercisable	Date	little N	Number		
										of		
					Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name, Pidaress	Director	10% Owner	Officer	Other			
Tadano Ltd. KO-34, SHINDEN-CHO, TAKAMATSU KAGAWA, M0 761-0185	X	X					

Signatures

/s/ Tadashi Suzuki, Representative Director, Executive Vice President of Tadano Ltd.

03/15/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Ingo Schiller ("Schiller"), a director of Manitex International, Inc. (the "Issuer") and an employee of the Reporting Person, was granted (i) 5,000 shares which will vest 33% on March 13, 2020, 33% on March 13, 2021 and 34% on March 13, 2022; and (ii) 4,000 shares which

- (1) vested 33% on March 13, 2019, and will vest 33% on March 13, 2020 and 34% on March 13, 2021. Schiller serves as a director of the Issuer pursuant to the Reporting Person's right under the Securities Purchase Agreement, dated as of May 24, 2018, by and between the Issuer and the Reporting Person, to nominate one individual to serve on the Board of Directors of the Issuer (the "Nomination Right").
- (2) The shares were assigned to the Reporting Person under the terms of Schiller's arrangement with the Reporting Person. The Reporting Person is a director by deputization because Schiller serves as a director of the Issuer pursuant to the Nomination Right.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2