

Edgar Filing: ASBURY AUTOMOTIVE GROUP INC - Form 3

ASBURY AUTOMOTIVE GROUP INC

Form 3

March 15, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

Freeman Spogli & Co.

(Last)

(First)

(Middle)

11100 Santa Monica Boulevard, Suite 1900

(Street)

Los Angeles

CA

90025

(City)

(State)

(Zip)

2. Date of Event Requiring Statement (Month/Day/Year)

3/13/02

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

Asbury Automotive Group, Inc. [NYSE: ABG]

5. Relationship of Reporting Person to Issuer
(Check all applicable)

☐ Director

☒ 10% Owner

☐ Officer (give title below)

☐ Other (specify below)

6. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form Filed by One Reporting Person

☐ Form Filed by More than One Reporting Person

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Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature (Instr. 5) |
|---|---|---|-------------------------|
| Common Stock, par value \$0.01 per share | 8,595,843 shares of Common Stock* | Direct (D) | by Asbu |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the Form is filed by more than one Reporting Person, see Instruction 5(b) (v) .

FORM 3 (continued)

Table II -- Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conve sion Exerc Price Deriv Secur |
|---|--|---|--|
| | Date Expira- Exer- tion cisable Date | Amount or Number of Shares | |
| | | Title | |

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*Represents shares owned by Asbury Automotive Holdings L.L.C. FS Equity Partners III, L.P., FS Equity Partners IV, L.P., investment funds affiliated with Freeman Spogli, are the owners of 4 Asbury Automotive Holdings and are deemed to be members of a group that own the shares of Asbury address of Freeman Spogli & Co., FS Equity Partners III, FS Equity Partners IV is 11100 Santa Monica, Angeles, California 90025. The business address of FS Equity Partners International L.P. is c/o Winds Building, Third Floor, Grand Cayman, Cayman Island, British West Indies.

/s/ John M. Roth

March 13, 2002

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.